

**REPUBLIC OF CABO VERDE**

**Improving Connectivity and Urban Infrastructure Project  
(P178644)**

**Project Preparation Advance**

**Draft**

**ENVIRONMENTAL and SOCIAL  
COMMITMENT PLAN (ESCP)**

**[January 19, 2022]**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Cabo Verde (the Recipient) is planning to implement the proposed “Improving Connectivity and Urban Infrastructure” Project (the Project) with the involvement of the Ministry of Infrastructure, Territorial Planning and Housing (MIOTH) ( through the following entities: Infraestruturas de Cabo Verde-ICV and Estradas de Cabo Verde-ECV), Minister of Finance ( through the Unidade de Gestão de Projetos Especiais-UGPE) and municipalities (Tarrafal, Santa Catarina, Ribeira Grande, São Domingos, São Salvador do Mundo and Praia in Santiago island; Ribeira Grande in Santo Antão island, Mosteiro in Fogo island and Mindelo in São Vicente island) , for which it has requested a Project Preparation Advance (PPA). The International Bank for Reconstruction and Development/International Development Association, (hereinafter the World Bank), acting as the international financial institution of the Republic of Cabo Verde, through the Unidade de Gestão de Projetos Especiais (UGPE, serving as Project Implementing Unit), has agreed to provide the PPA to finance consultancy activities (the Activities) related to the preparation of the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Activities are carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the World Bank. The ESCP is a part of the PPA. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted under the Activities, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the World Bank.
4. As agreed by the World Bank and the Recipient, this ESCP will be revised from time to time if necessary, during the implementation of the Activities, to reflect adaptive management of changes and unforeseen circumstances related to the Activities or in response to assessment of performance of the Activities. In such circumstances, the Recipient through Unidade de Gestão de Projectos Especiais and the World Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the World Bank and the Republic of Cabo Verde, through Minister of Finance .The Recipient shall promptly disclose the updated ESCP.
5. When changes, unforeseen circumstances, or Project performance result in changes in risks and impacts during Project implementation, the Recipient shall provide additional funds, if necessary, for actions and implementation measures to address such risks and impacts, which may include environmental, health, and safety impacts, labor influx, risk of degradation of natural resources and pollution of the physical environment (soil, air, surface and ground water, noise on the construction sites), the risk of disrupting the free movement of people and goods, the risk of social conflicts, and the risks to the health and safety of the population (including outbreak of diseases among the population and workers, accidents linked to construction site activities, health risks linked to poor management of waste from the construction sites, loss of land and property, and gender-based violence, sexual exploitation and abuse, sexual harassment, unwanted pregnancies among the Recipient populations, etc.), and risks related to child labor.
6. The table below presents the important measures and actions required the responsibilities of the actors involved in the Project, and the timeframes for implementing the selected measures and actions.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>The Recipient prepare and submit to the World Bank monitoring reports on the environmental, social, health and safety (ESHS) performance of the of the Project and Subprojects, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, and the functioning of the grievance mechanism(s).</p>	<p>Monthly, starting from the Effective Date, and no later than 45 days after the effective date and maintain throughout the project implementation.</p> <p>During the project implementation Monthly Progress reports shall be submitted to the Association on an agreed format not later than fifteen (15) days after the end of each month. The reporting format will be agreed by First Implementation Support Mission.</p>	<p>Ministry of Finance - UGPE through Project Manager, and Environmental and social specialist (ESS).</p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the World Bank of any incident or accident related to the Activities which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the World Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Report incident or accident to the World Bank within twenty four (24) hours and no later than forty eight (48) hours after learning of the incident or accident and provide subsequent report to the World Bank within one week</p>	<p>UGPE through Project Manager, and Environmental and social specialist (ESS).</p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>The Recipient shall maintain a Project Management Unit (the UGPE), which includes, among others, a qualified environmental and social specialist; and an additional international/national Environmental and Social Consultants to ensure that the planned tasks are carried out effectively.</p>	<p>The UGPE is already established and shall maintain the positions during the implementation of the project.</p>	<p>UGPE</p>
1.2	<p><b>TECHNICAL ASSISTANCE AND ENVIRONMENTAL AND SOCIAL ASSESSMENT:</b></p> <p>a) The Recipient shall prepare, disclose, consult and adopt for the Project an Environmental and Social Management Framework (ESMF), Labor Management Procedures (LPM) and a Resettlement Policy Framework (RPF). The Project ESMF shall include a gender-based violence (GBV), sexual exploitation and abuse/sexual harassment (SEA/SH) risks assessment, a GBV/SEA/SH Action Plan, and Chance-find procedures.</p> <p>b) For identified project area, the Recipient shall prepare, disclose, adopt, and implement any environmental and social assessments including Environmental and Social Impact Assessment (ESIA) and/or Environmental and Social Management Plans (ESMP), Health and safety plans (HSP), WHO guidelines on COVID-19, and other relevant Good International Industry Practice (GIIP), all in accordance with the ESSs. The environmental and social assessment will be conducted in accordance with ESS1, and will consider, in an integrated way, all relevant direct, indirect and cumulative environmental and social risks and impacts of the project.</p>	<p>The project ESCP and SEP are prepared. It will be disclosed prior to the PPA and will be adopted, updated, and implemented throughout Project implementation.</p> <p>The ESMF, LPM and RPF will be prepared, approved and disclosed during the PPA</p> <p>Any subsequent ESIA/ESMP shall be prepared and implemented prior to the start of relevant project activities/civil works.</p>	<p>UGPE, ICV, ECV and municipalities</p>
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			

<p>2</p>	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>The Recipient, through UGPE shall prepare, consult, adopt, disclose and implement Labor Management Procedures (LMP), that comply with applicable national legislation and ESS 2, for the Project and sub-project, including through, inter alia, occupational, health and safety measures (including personal protective equipment, and emergency preparedness and response measures), setting out grievance mechanisms for Project workers, the recruitment and project management process and incorporating a Labor Management Plan in compliance with the Labor Management Procedures (this plan is part of the CESMP) requirements into the ESHS specifications of the procurement documents and contracts with contractors and Supervising Engineer shall be responsible for the quality and adequate implementation of the CESMP and COHSP and hire for this purpose an experienced Environmental Specialist with experience and certification in ISO 45001:2018 or equivalent Health and Safety an experienced Social Specialist.</p> <p>Prior to the PPA , the recipient provides project’s workers the following measures:</p> <ul style="list-style-type: none"> <li>• Provide workers with information and documentation that is clear and understandable regarding their terms and conditions of employment through written contracts setting out their rights, including, inter alia, rights related to hours of work, wages, overtime, compensation and benefits, as well as written notice of termination of employment, and details of severance payments, as applicable;</li> <li>• Implement occupational health and safety (including personal protective equipment, and emergency preparedness and response) measures, taking into account the General Environmental, Health and Safety Guidelines (EHSGs), and other relevant Good</li> </ul>	<p>LMP are prepared during the PPA and shall be adopted and implemented throughout Project implementation.</p>	<p>UGPE, contractors</p>
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MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p>International Industry Practice (GIIP) and, as appropriate, the industry-specific EHSGs and other Good International Industry Practice (GIIP);</p> <ul style="list-style-type: none"> <li>• Implement measures, as applicable, to, inter alia: (i) prevent the use of all forms of forced labor and child labor; (ii) enable workers to benefit from, inter alia, access to grievance and redress mechanisms without fear of retaliation; and effective freedom to form and join workers organizations or alternative mechanisms for expressing their concerns and protect their rights related to labor and working conditions;</li> <li>• Develop a code of conduct for workers, which shall include measures to prevent and respond to SEA/SH cases;</li> <li>• Incorporate the relevant requirements above in the ESHS specifications of the procurement documents and contracts with third parties that engage workers in the implementation of the Activities.</li> </ul>		
<b>ESS 3 RESOURCE EFFICIENCY, POLLUTION PREVENTION AND MANAGEMENT</b>		

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
3.1	<p><b>WASTE AND HAZARDOUS MATERIALS MANAGEMENT:</b></p> <p>The Recipient, through UGPE shall ensure that the project contractors develop and implement to the waste and hazardous materials (such as hydrocarbons, oil filters, etc.), an waste management plan, commonly as part of the ESIA or ESMP, where relevant, to manage risks in a manner acceptable to the Association.</p> <p>The Recipient shall ensure adoption of climate co-benefits, energy and water efficiency measures consistent with ESS3, as guided in the ESMF, and as per the national law, guidelines and policies and ensure proper disposal of construction waste. These measures will be incorporated, where applicable, into the design of each Project activity as applicable, and implemented through the ESMPs.</p> <p>For all subproject activities, assess impacts (through ESIA) from waste generation (including hazardous waste), pollution emissions and discharges, including GHG emissions where likely to be significant, and prepare and implement proportionate mitigation measures through ESMPs.</p>	<p>Prior to the start of the work and maintained during the implementation of the project.</p> <p>Climate co-benefits, energy and water efficiency measures will be carried out throughout the Project implementation.</p>	UGPE; contractors
3.2	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b></p> <p>Incorporate resource efficiency and pollution prevention and management measures in the site-specific ESMPs to be prepared under action [1.2] above and implement.</p>	During implementation of site specific ESMP	UGPE, Contractors
<b>ESS 4 : COMMUNITY HEALTH AND SAFETY</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
4.1	<p><b>ROAD SAFETY AND TRAFFIC:</b></p> <p>The Recipient, through UGPE shall ensure that: (i) measures to manage traffic and road safety risks be included in the ESMP to be prepared under Action 1.2 above; and (ii) the project contractors develop and implement road safety plans, in particular a traffic plan for construction equipment (if required).</p> <p>UGPE shall ensure that: (i) these measures are included into Contractors' ESMPs following the ESIA and C-ESMPs are duly applied; and (ii) the project contractors implement properly prepared and marked access routes to the shipyards.</p>	<p>Preparation and disclosure of sub-project specific E&amp;S assessment documents (ESIAs and ESMPs) prior to tendering of works for each sub-project and implement thereafter.</p> <p>Application of mitigation measures throughout Project implementation</p>	<p>UGPE Contractors</p>
4.2	<p><b>COMMUNITY HEALTH AND SAFETY:</b></p> <p>The Recipient, through UGPE shall ensure that project contractors develop and implement measures and actions to assess and manage specific risks and impacts to the community arising from project activities, including those related to the presence of project workers and any risks related to labor recruitment as required in the ESMF in actions 1.2 above.</p>	<p>In the execution phase of the work and maintained during the implementation of the project.</p>	<p>UGPE Contractors</p>



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
4.3	<p><b>GBV AND SEA/SH RISKS:</b></p> <p>A gender-based violence (GBV) risk assessment shall be prepared for the project. The GBV/SEA/SH Action Plan including measures to manage those risks shall be prepared, adopted and implemented. It shall contain a set of measures to increase accountability, prevent GBV, and mitigate the risk of GBV, including but not limited to developing codes of conduct for workers and promoting GBV risk awareness training for project stakeholders.</p>	<p>GBV/SEA/SH risk assessment is concluded as part of the ESMF. It will be disclosed, adopted and implemented throughout Project implementation.</p> <p>The GBV action plan shall be completed no later than six months after the project is approved by the Association and after the specific sites of subprojects are known.</p> <p>Codes of conduct signed by workers and training provided prior to the start of project activities.</p>	<p>UGPE  ICIEG (Instituto Cabo-verdiano para a igualdade e Equidade de Género)  Contractors</p>
<b>ESS 5: LAND ACQUISITION, LAND USE RESTRICTIONS AND INVOLUNTARY RESETTLEMENT</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
5.1	<p><b>LAND ACQUISITION, LAND USE RESTRICTIONS AND INVOLUNTARY RESETTLEMENT:</b></p> <p>The Recipient, through UGPE shall prepare, disclose, consult and adopt for the Project a Resettlement Policy Framework (RPF) for the unidentified project sites as required and in accordance with the ESS 5 and relevant national legislation.</p> <p>For identified project sites, prepare, disclose, adopt, and implement resettlement action plans (RAPs) in accordance with information and template included in the ESMF and RPF if required and in a manner acceptable to the Association.</p>	<p>The Project RPF will be disclosed during to Appraisal and be adopted and implemented throughout Project implementation. Any subsequent Resettlement Action Plans shall be prepared and implemented prior to the launch of corresponding civil works.</p>	<p>UGPE MIOTH and municipalities</p>
<b>ESS 6: CONSERVATION OF BIODIVERSITY AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<p><b>SUSTAINABLE MANAGEMENT OF BIOLOGICAL NATURAL RESOURCES:</b></p> <p>The Recipient, through UGPE shall ensure sustainable management of biological natural resources through good management practices and the use of available technological tools.</p>	<p>In the execution phase of the work and maintained during the implementation of the project.</p>	<p>UGPE through Environmental and Social Specialist (ESS) Contractors Ministry of Agriculture and Environment</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
6.2	<p><b>PROTECTED AREAS/BIODIVERSITY CONSERVATION:</b></p> <p>The Recipient assess the subproject risks and impacts on biodiversity and implement biodiversity management plan as part of subproject ESMP and ESIA.</p> <p>a. Apply ESMF and measures to screen subproject site within designated protected areas, if applicable.</p> <p>b. Biodiversity risk and impact assessments will be conducted as part of each of the project's Environmental and Social Assessments and measures to manage these risks will be included in the ESIA/ESMPs. These ESIA/ESMPs will include mitigation measures taking into account the principle of the mitigation hierarchy, as defined in paragraph 27 of the ESS 1 of the ESF.</p>	<p>The project ESMF will be prepared and disclosed during to Appraisal and be adopted and implemented throughout Project implementation</p> <p>These management procedures are systematically included in the ESIA/ESMPs and in the Contractors contracts.</p>	<p>UGPE through Environmental and Social Specialist (ESS) Contractors Ministry of Agriculture and Environment</p>
<b>ESS 7: TRADITIONAL INDIGENOUS POPULATION / HISTORICALLY DISADVANTAGED COMMUNITIES SUB-SAHARAN AFRICA</b>			
7.1	<b>Not relevant</b>	<b>Not relevant</b>	<b>Not relevant</b>
<b>ESS 8 : CULTURAL HERITAGE</b>			
8.1	<p><b>ARCHAEOLOGICAL DISCOVERIES :</b></p> <p>The Recipient, through UGPE shall implement a procedure for archaeological discoveries or chance find procedures in the project's ESMF.</p> <p>The ESMF, through the subproject screening process, shall ensure that any sites with or near cultural heritage are identified and any necessary measures taken. Chance find procedures clauses shall be included in all work contracts in case any cultural heritage is unexpectedly found.</p>	<p>During the implementation of the project .</p>	<p>UGPE</p>
<b>ESS 9: FINANCIAL INTERMEDIARIES (FI)</b>			
	<b>Not relevant</b>	<b>Not relevant</b>	<b>Not relevant</b>
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			

<p>10.1</p>	<p><b>STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE PREPARATION AND IMPLEMENTATION OF THE STAKEHOLDER ENGAGEMENT PLAN:</b></p> <p>The project prepared a first draft of the Stakeholder Engagement Plan (SEP), which will be submitted to stakeholders for consultation, review and prior approval before PPA, in accordance with ESS 10 and relevant national legislation. Thereafter, when approved, the SEP shall be disclosed and implemented as required.</p> <p>Incorporate stakeholder engagement and information disclosure measures in the implementation of the Activities, in a manner consistent with ESS10.</p> <p>The SEP will be ensure that the following measures are implemented:</p> <ul style="list-style-type: none"> <li>a) Provide stakeholders with information about the environmental and social risks and impacts of the Activities in a timely, understandable, accessible and appropriate manner and format, including but not limited to any environmental and social instruments prepared as part of the Activities [consider specifying where such information shall be available e.g. Activities sites, government offices, websites, etc.];</li> <li>b) Consult stakeholders in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation, including with regards to any environmental and social instruments prepared as part of the Activities;</li> <li>c) Document the stakeholder engagement activities, including: (i) stakeholder mapping; (ii) description of consultations and participation mechanisms utilized, and</li> </ul>	<p>The first draft of Project SEP is prepared. It will be disclosed prior to PPA and be adopted, updated, and implemented throughout Project implementation.</p>	<p>UGPE MIOTH and municipalities</p>
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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
	records of meetings held; (ii) feedback received and responses to said feedback; and (iv) measures to engage stakeholders who, because of their particular circumstances, may be disadvantaged or vulnerable.		
10.2	<p><b>GRIEVANCES</b></p> <p>A Grievance Redress Mechanism (GRM) has been developed and is operational to address complaints related to the implementation of the project, in accordance with the requirements of ESS10.</p> <p>The UGPE receive and facilitate resolution of concerns and grievances in relation to the Activities, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all parties affected by the Activities, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>Grievance Redress Mechanism (GRM) it will be extended to address community complaints related to the implementation of the project, as described in SEP.</p>	Adopted and maintained during project implementation.	UGPE and Contractors
<b>CAPACITY BUILDING</b>			
<b>Activities</b>		<b>Beneficiary</b>	<b>Program</b>
<b>WB Environmental and Social Standards</b>		Projects beneficiary focal point Project Staff based in UGPE (ESS, M&E-S, Procurement, financial), MIOH, ICV, ECV and, municipalities; National Environment Directorate staff.	1st quarter of the 1st year of implementation

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p><b>Training Module - Environmental and Social</b>, design and implementation of a training module on the following aspects:</p> <ul style="list-style-type: none"> <li>➤ Screening instrument for sub-projects selection process (environmental and social checklist);</li> <li>➤ National environmental policies, procedures and legislation;</li> <li>➤ Knowledge of the process for monitoring the implementation of the ESMP and RPF.</li> </ul>	<p>Municipalities and the Satellite Project Focal (SPFs ) Non-governmental organizations (NGOs) Community based Associations.</p>	<p>1st quarter of the 1st year of implementation</p>
<p><b>Training Module - Occupational Health and Safety:</b></p> <ul style="list-style-type: none"> <li>➤ Individual and collective protective equipment;</li> <li>➤ Risk management in the workplace;</li> <li>➤ Prevention of work-related accidents;</li> <li>➤ Health and safety rules;</li> <li>➤ Solid and liquid waste management;</li> <li>➤ Emergency preparedness and response.</li> </ul>	<p>Project Staff based in UGPE, ICV, ECV and MIOTH and Municipalities Contractors employees.</p>	<p>Beginning of the work</p>
<p><b>Working conditions:</b></p> <ul style="list-style-type: none"> <li>➤ Work conditions in accordance with the national laws and regulations in force;</li> <li>➤ Code of conduct for employers and contracted staff.</li> <li>➤ GRM for workers – grievance submission and redress procedures</li> </ul>	<p>Project Staff based in UGPE, ICV, ECV and MIOTH and Municipalities Contractors employees.</p>	<p>Beginning of the work</p>
<p><b>Training Module – Grievance Mechanism:</b> design and production of a training module covering the following aspects:</p> <ul style="list-style-type: none"> <li>➤ Classification of complaints;</li> <li>➤ Registration and grievance redress procedures;</li> <li>➤ Recording, documentation and handling of grievances;</li> <li>➤ Allocation of rules and responsibilities of the GRM organizational structures;</li> <li>➤ Level of processing, types of authorities and affiliation.</li> </ul>	<p>Project Staff, ICV, ECV and MIOTH, Municipalities Non-governmental organizations (NGOs) Community based Associations Community leadership.</p>	<p>1<sup>st</sup> quarter of the 1st year of implementation</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<p><b>GBV/SEA/SH risk module:</b>  Awareness raising and measures to prevent and mitigate GBV/SEA/SH risks:</p> <ul style="list-style-type: none"> <li>➤ Prevention and response to GBV/SEA/SH based on Cabo Verdean laws and regulations</li> <li>➤ Survivors Services provider;</li> <li>➤ GBV/SEA/SH complaint management</li> <li>➤ Themes, activities and target groups shall be developed in the GBV action plan.</li> </ul>	<p>Project Staff based in UGPE, ICV, ECV and MIOTH,  Municipality technicians  Civil society, Non-governmental organizations (NGOs)  Community based Associations, including Women’s associations  Community leadership  Contractors employees.</p>	<p>Before the start of the project activities. Starts in 1<sup>st</sup> quarter of the 1st year of implementation, and continues throughout project implementation with annual refresher courses.</p>